

INITIAL**ADDITIONAL**

In accordance with the requirements of the Capital Markets and Services Act 2007, this Form should not be circulated unless accompanied by the Master Prospectus and/or Supplementary Master Prospectus (if any). Investor should read and understand the contents of the Master Prospectus and/or Supplementary Master Prospectus (if any) before completing this Form. Pre-signing of forms is not permitted.

ACCOUNT DETAILS

Account No:	0	0	0	0																		
Applicant Name (in full)																						
Joint Applicant Name (in full)																						
	New NRIC No./Passport No./ Company Registration No.																					
	New NRIC No./Passport No.																					

INVESTOR SUITABILITY ASSESSMENT (Please tick ✓ and note on ISAF requirement)

<input type="checkbox"/> First time individual investor <small>(please complete ISAF on next page)</small>	<input type="checkbox"/> Update / Invest in a new fund <small>(please complete ISAF on next page)</small>	<input type="checkbox"/> Remain as previous assessment <small>(you may skip ISAF on next page)</small>	<input type="checkbox"/> Corporate investor <small>(please complete a separate ISAF provided)</small>
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INITIAL / ADDITIONAL INVESTMENT

Fund Name	Fund Currency	Investment Amount	Investment Plan			Distribution Instruction	
			Cash	Regular	EPF	Reinvest	Payout (Not Applicable to EPF)
Pheim Emerging Companies Balanced Fund	MYR		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Dana Makmur Pheim	MYR		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pheim Income Fund	MYR		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pheim Asia Ex-Japan Fund	MYR		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pheim Asia Ex-Japan Islamic Fund	MYR		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pheim Greater China Islamic Fund	MYR		<input type="checkbox"/>	<input type="checkbox"/>	-	<input type="checkbox"/>	-
Pheim ASEAN Islamic Fund	MYR		<input type="checkbox"/>	<input type="checkbox"/>	-	<input type="checkbox"/>	-
Pheim Global ESG Islamic Fund	MYR		<input type="checkbox"/>	<input type="checkbox"/>	-	<input type="checkbox"/>	-

MODE OF PAYMENT

Cheque/Bank Draft/Money Order No. _____

Online/Telegraphic Transfer (please provide transfer slip)

Over-the-Counter/Direct Bank-in (please provide bank-in slip)

Bank Details:

Account Name : Pheim Unit Trusts Berhad - Clients' Account
Account No. : 5143 5630 3644
 Bank : Malayan Banking Berhad
 Branch : Maybank Wisma Genting
 Swift Code (BIC) : MBBEMYKL
 Address : Wisma Genting, 28, Jalan Sultan Ismail, 50540 Kuala Lumpur, Malaysia
 Contact No. : +603 2163 5051

Important:

Sending cash is strictly not allowed. Our Agents/Distributors are not authorized to accept cash in any circumstances. Payment made by a third party (other than applicants or account holders) is not allowed.

NOTES AND CONDITIONS

- Distribution payout will be in the name of Individual/Primary Holder and sent by post to the latest correspondence address maintained in the Register of Unit Holders.
- In the event that a distribution payment is made and the cheque is returned through mail or not encashed after a period of 6 months from the cheque date, PUTB will automatically reinvest the distribution payment in the purchase of additional units of the relevant Fund on Unit Holder's behalf.
- Distribution for investment via EPF Member's Investment Scheme will be automatically reinvested into the Fund. Distribution will continue to be reinvested upon conversion to Cash Investment Plan after EPF released control (upon investor attaining 55 years' old).
- PUTB reserves the right to enquire further information from Applicant(s)/Authorised Signatory(ies) that is deemed appropriate for compliance with Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 and/or other requirements issued by the Authorities from time to time.
- This Form must be received by us before 4.00 pm, unless otherwise prior notice is given by PUTB, and if accepted, will be processed at the NAV determined by the end of the Business Day of which the application is received except for EPF Investment Plan which will be processed at the NAV determined by the end of the Business Day when EPF disburses the payment to PUTB.
- PUTB reserves the right to reject form which is incomplete, unclear and/or altered without the signature of the applicant. Amendment using correction fluid is strictly not allowed.

DECLARATION AND SIGNATURE(S)

By applying for units in any of the Fund(s) managed by Pheim Units Trusts Berhad ("PUTB" or "the Manager", Company No. 200101010163 (545919-A)),

- I am/We are aware of all fees/charges that I/we will directly/indirectly incur when investing in the Fund(s). I/We agree that I/we will absorb all fees/charges incurred in executing the above transaction(s), as per Master Prospectus and/or that advised by the Manager from time to time.
- I/We hereby declare that I am/we are the sole legal and beneficial owner of all the monies invested with the Manager.
- I am/We are not acting as a nominee for any undisclosed third party and none of the investment monies invested with the Manager was derived from proceeds from an unlawful activity as defined by the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001.
- I/We agree that the Manager reserves the right to accept or reject any application in whole or in part thereof without assigning any reasons in respect thereof.
- I/We agree that the distribution instruction given by me/us in the Initial Transaction Form - Investment shall remain unchanged until and unless instructed otherwise by me/us in writing.
- I am/We are aware that I/we will receive Statement of Investment every Half-Yearly for Cash/Regular Investment Plan or Quarterly for EPF Investment Plan.
- I/We hereby agree to indemnify the Manager against all actions, suits, proceedings, claims, damages, and losses which may be suffered by the Manager as a result of any inaccuracy of declarations herein.

Signature of Individual Applicant/
Authorised SignatorySignature of Joint Applicant/
Authorised SignatoryCompany's Stamp
(for Corporate Applicant only)

Date

FOR UNIT TRUST CONSULTANT (UTC) USE ONLY

UTC Code:	Name:	UTC Signature:	Date:
Sales Charges (%):	Reason:	Approved By:	

INVESTOR SUITABILITY ASSESSMENT FORM (ISAF)
(To be completed by Individual Holder)

This Investor Suitability Assessment Form (ISAF) will guide you in choosing the unlisted capital market products that suit your investment objectives, risk tolerance, financial profile and investment experience. The information you provide will form the basis of our recommendation. It is important to provide accurate and complete information to ensure that suitable unlisted capital market products are recommended according to your investment needs and objectives.

UNDERSTANDING YOUR NEEDS AND RISK PROFILE

Investor's Current Investment Portfolio: Unit Trust Property Equity Savings/FD Derivatives

Please answer the following questions by selecting only one response to each question.

- | | |
|--|---|
| <p>1. What is your age?</p> <p><input type="checkbox"/> 51 and above [2]</p> <p><input type="checkbox"/> 39 to 50 [4]</p> <p><input type="checkbox"/> 26 to 38 [6]</p> <p><input type="checkbox"/> 18 to 25 [8]</p> <p>2. How will you classify yourself as an investor?</p> <p><input type="checkbox"/> No experience [2]</p> <p><input type="checkbox"/> Some experience [4]</p> <p><input type="checkbox"/> Experience [6]</p> <p><input type="checkbox"/> Very experience [8]</p> <p>3. What is the percentage of your monthly liabilities against your income?</p> <p><input type="checkbox"/> Up to 10% [2]</p> <p><input type="checkbox"/> 11% to 25% [4]</p> <p><input type="checkbox"/> 26% to 50% [6]</p> <p><input type="checkbox"/> Over 50% [8]</p> <p>4. What is your investment objective?</p> <p><input type="checkbox"/> Capital protection [2]</p> <p><input type="checkbox"/> Income [4]</p> <p><input type="checkbox"/> Income & Capital growth [6]</p> <p><input type="checkbox"/> Capital growth [8]</p> <p>5. What is your expected investment period?</p> <p><input type="checkbox"/> Less than 1 year [2]</p> <p><input type="checkbox"/> 1 to 3 years [4]</p> <p><input type="checkbox"/> 3 to 5 years [6]</p> <p><input type="checkbox"/> 5 years or more [8]</p> | <p>6. What is your education level?</p> <p><input type="checkbox"/> Primary/Secondary [2]</p> <p><input type="checkbox"/> Diploma/Certificate/Pre-University [4]</p> <p><input type="checkbox"/> Degree [6]</p> <p><input type="checkbox"/> Masters/PhD [8]</p> <p>7. What is your current status?</p> <p><input type="checkbox"/> Single with dependents [2]</p> <p><input type="checkbox"/> Married with children [4]</p> <p><input type="checkbox"/> Married, no children [6]</p> <p><input type="checkbox"/> Single without dependents [8]</p> <p>8. Imagine that your investment lost 15%. What would you do?</p> <p><input type="checkbox"/> Redeem/ Hold [2]</p> <p><input type="checkbox"/> Switch [4]</p> <p><input type="checkbox"/> Invest more to average my costs [6]</p> <p><input type="checkbox"/> Top up aggressively [8]</p> <p>9. How much of your investment do you expect to redeem over 3 years?</p> <p><input type="checkbox"/> Up to 100% [2]</p> <p><input type="checkbox"/> Up to 50% [4]</p> <p><input type="checkbox"/> Up to 25% [6]</p> <p><input type="checkbox"/> None [8]</p> <p>10. How frequent do you wish to review your investment?</p> <p><input type="checkbox"/> Monthly [2]</p> <p><input type="checkbox"/> Once a quarter [4]</p> <p><input type="checkbox"/> Once a year [6]</p> <p><input type="checkbox"/> Seldom [8]</p> |
|--|---|

Total Points: Investor's Risk Profile would be **Conservative** (30 points & below); **Moderate** (31 - 54 points); **Aggressive** (55 points & above)

RECOMMENDATION (To be completed by Product Distributor / Unit Trust Consultant)

The recommended fund(s) would be based on your investment objective, risk tolerance, financial profile and investment experience. Investor investment choice(s) is/are (please tick):

Fund	Investor's Risk Profile	Conservative (30 points & below)	Moderate (31 - 54 points)	Aggressive (55 points & above)
Pheim Income Fund		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pheim Emerging Companies Balanced Fund		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Dana Makmur Pheim		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pheim Asia Ex-Japan Fund		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pheim Asia Ex-Japan Islamic Fund		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pheim Greater China Islamic Fund		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pheim ASEAN Islamic Fund		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pheim Global ESG Islamic Fund		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Tick (✓) Note: Product risk mismatched Tick (✓) Note: Product risk mismatched Tick (✓)

ACKNOWLEDGEMENT

Is the recommended fund(s) matched with the investor's risk profile?

Matched (Investor tick A below and sign) **Mismatched** (Investor tick B below and sign)

No.	Investor's Decision	Tick (✓)
A	I wish to proceed with the recommended unit trust fund(s).	<input type="checkbox"/>
B	I have decided to purchase another unlisted capital market product that is not recommended by the Product Distributor/Unit Trust Consultant (UTC).	<input type="checkbox"/>
C	I decline to provide certain information required for product suitability assessment and that this may adversely affect my suitability assessment.	<input type="checkbox"/>

- The Product Distributor/UTC has explained and I have understood the features and risks of the product.
- All information disclosed by me in this form is true, complete and accurate.
- I acknowledge receipt of a copy of Product Highlights Sheet (PHS) and the relevant disclosure document which have been given to me.
- I agree and acknowledge that the Investment Profile derived from this Investor Suitability Assessment Form (ISAF) shall remain unchanged unless and until a new review of my Investment Profile is requested by me at any future time with Product Distributor/UTC.

Investor's Signature (Individual Applicant)

Warning: The recommendation is made based on information obtained from suitability assessment. Investors are advised to exercise judgement in making an informed decision in relation to the unlisted capital market products.

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I declare that:

- The information provided to me in this Suitability Assessment is strictly confidential and is used for the purpose of fact finding and to facilitate the advisory process.
- I have explained all the required information to investor and have attached the relevant documents.

Product Distributor's/UTC's Signature